SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

	OMB Number:	3235-0287						
Estimated average burden								
	hours per response:	0.5						

Instruc	tion 1(b).			Filed						ies Exchar mpany Act		1934					
1. Name and Address of Reporting Person* Roman DBDR Tech Sponsor LLC					2. Issuer Name and Ticker or Trading Symbol <u>Roman DBDR Tech Acquisition Corp.</u> [DBDR]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner				
													Officer below)	(give title		Other (s below)	pecify
(Last) (First) (Middle) 2877 PARADISE ROAD #702					3. Date of Earliest Transaction (Month/Day/Year) 11/17/2020								belowy			below)	
(Street)				[4. If An	nendm	ent, Date (of Original	Filed	(Month/Da	ay/Year)	6. l Line	ndividual or J e)	oint/Group	Filing	(Check App	licable
LAS VE	GAS N	IV	89109										X Form fi	led by One	e Repo	rting Persor	ı
(City)	(5	State)	(Zip)	_									Form fi Person		e than	One Repor	ting
		Та	ble I - Non	-Deriva	tive S	ecur	ities Ac	quired	, Dis	posed o	of, or B	eneficiall	y Owned				
Date			2. Transac Date (Month/Da	Execution Dat		ution Date	, Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			Beneficia Owned F	s F ally (l ollowing (l	Form	Direct I Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) (D)	or Price	Reported Transacti (Instr. 3 a	nsaction(s) str. 3 and 4)		[Instr. 4)
			Table II - I (Derivati e.g., pu				,			,		Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Cod	saction e (Instr.	Deri Sec Acq or D of (I	umber of ivative urities uired (A) visposed D) (Instr. and 5)	6. Date Exercisable Expiration Date (Month/Day/Year)		3	and 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
				Cod	e V	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares		Transacti (Instr. 4)	ion(s)		
Class B Common Stock	(1)	11/17/2020		J ⁽²⁾			536,000	(1)		(1)	Class A Common Stock	536,000	\$0.00	5,789,0	000	D ⁽³⁾	
•	n of Respon								- 1								
1 As describ	ed in the regis	trant's registration sta	tement on Form	S-1 (File N	0 333-3	249330) (the "Reg	ictration St	atomoi	nt") under tl	he heading	"Description	of Securities	Founder Sh	aroc " t	he chares of (Tace B

1. As described in the registrant's registration statement on Form S-1 (File No. 333-249330) (the "Registration Statement") under the heading "Description of Securities--Founder Shares," the shares of Class B common stock, par value \$0.0001 per share, at the time of the registrant's initial business combination, on a one-forone basis, subject to certain adjustments described therein and have no expiration date.

2. As contemplated in connection with the initial public offering of the registrant, 536,000 shares of Class B common stock were returned to the registrant for no consideration and cancelled because the underwriters' over-allotment option was not exercised in full.

3. Dr. Donald G. Basile, Chairman and Co-Chief Executive Officer of the registrant and Dixon Doll, Jr., the registrant's Co-Chief Executive Officer, are the managing members of the reporting person and have voting and investment discretion with respect to the securities held by the reporting person. As such, Messrs. Basile and Doll may be deemed to share beneficial ownership of the shares of Class B common stock held directly by the reporting person. Each of Messrs. Basile and Doll disclaim any beneficial ownership of the reported shares other than to the extent of any pecuniary interest they may have therein, directly or indirectly.

/s/ Dr. Donald G. Basile, as						
Authorized Signatory						

<u>11/19/2020</u>

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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